

# Extending Representations of Dense Subalgebras of $C^*$ -Algebras, and Spectral Invariance

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## Abstract

We show that if certain topologically irreducible representations of a dense  $m$ -convex Fréchet subalgebra  $A$  of a  $C^*$ -algebra  $B$  are contained in  $\star$ -representations of  $B$  on a Hilbert space, then the spectrum of every element of  $A$  is the same in either  $A$  or  $B$ . When  $B$  is the  $C^*$ -algebra associated with a dynamical system consisting of  $\mathbb{Z}^2$  acting on  $\mathbb{R}^2$  by linear translations, we show that such representations extend if and only if  $B$  is CCR.

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## 1 Introduction

In [duC1, 1989], it was shown that the algebra of smooth compact operators  $\mathcal{K}^\infty$  has a “differentiable” irreducible representation theory similar to the irreducible  $\star$ -representation theory of the usual  $C^*$ -algebra of compact operators. In this paper,  $E$  is a *differentiable* Fréchet space representation of

the (Fréchet algebra)  $A$  if  $E$  is a continuous, nondegenerate  $A$ -module such that the canonical map  $A\hat{\otimes}E \rightarrow E$  is onto, where  $\hat{\otimes}$  denotes the projective completion of Fréchet spaces. We consider these same types of representations, and a variation on them (see Question C in §2). Question D posed in §5 asks: When is every differentiable topologically irreducible representation of  $A$  contained in a  $\star$ -representation of the  $C^*$ -algebra  $B$  on a Hilbert space? (For  $A = \mathcal{K}^\infty = \mathcal{S}(\mathbb{N}^2)$ , the compact operators on  $l^2(\mathbb{N})$  with Schwartz kernels (and matrix multiplication), the only such representation is  $\mathcal{S}(\mathbb{N})$ , where the action is  $(\varphi\xi)(n) = \sum_m \varphi(n, m)\xi(m)$ . Clearly  $\mathcal{S}(\mathbb{N})$  is contained in  $l^2(\mathbb{N})$ , on which  $\mathcal{K}$  is  $\star$ -represented.) If the answer to Question C or D is “yes”, we show in §3 that if  $A$  is an  $m$ -convex Fréchet algebra, then  $A$  must be spectral invariant in  $B$ . That is, for  $a \in A$ , the spectrum  $\text{spec}(a)$  is the same in either  $A$  or  $B$ .

In §4, we show that representations *do not* extend for the two familiar examples of the irrational rotation algebra, and the crossed product of  $\mathbb{Z}$  acting on the one point compactification of  $\mathbb{Z}$  by translation. In the second example, the  $C^*$ -crossed product is GCR but not CCR.

A positive answer to the extension problem seems to depend on the  $C^*$ -algebra  $B$  being CCR. We prove this (in §5) for a simple class of smooth

crossed products. Namely, we consider  $\mathbb{Z}^2$  acting on  $\mathbb{R}^2$  viz  $\alpha_{\vec{n}}(\vec{r}) = \vec{r} + n_1 \vec{v}_1 + n_2 \vec{v}_2$ , where  $\vec{v}_1, \vec{v}_2$  are vectors in  $\mathbb{R}^2$ . The Schwartz functions  $A = \mathcal{S}(\mathbb{Z}^2, \mathbb{R}^2) = \mathcal{S}(\mathbb{Z}^2, \mathcal{S}(\mathbb{R}^2))$  form a dense  $m$ -convex Fréchet subalgebra of the  $C^*$ -dynamical system  $B = C^*(\mathbb{Z}^2, \mathbb{R}^2)$ , with the natural convolution multiplication. In Theorem 5.3, we show that the differentiable irreducible representations of  $A$  extend if and only if  $B$  is CCR (which is true if and only if  $\vec{v}_1$  is not an irrational multiple of  $\vec{v}_2$ , and if and only if there are no non-closed  $\mathbb{Z}^2$ -orbits).

In §6, we give an example when the extension Question C has a positive answer but Question D does not.

## 2 Posing the extension question

Let  $B$  be a  $C^*$ -algebra and let  $A$  be a dense subalgebra of  $B$ . We assume that both algebras are either unital with the same unit, or both non-unital. In the non-unital case, we let  $\tilde{A}, \tilde{B}$  be the respective unitizations. Otherwise set  $\tilde{A} = A, \tilde{B} = B$ . (Note that if  $A$  already has a unit, then by density  $B$  is automatically unital with the same unit.) We begin with some standard examples.

**Example 2.1.**  $B = C(M)$ , the continuous functions on a compact manifold

$M$  without boundary, with pointwise multiplication, and  $A = C^\infty(M)$ .  $A$  is dense by the Stone-Weierstrass Theorem.

**Example 2.2.**  $B = \mathcal{K}(l^2(\mathbb{N}))$ , the compact operators on a separable Hilbert space, and  $A = \mathcal{K}^\infty = \{[a_{nm}] \mid \|[a]\|_{p,q} = \sum_{n,m} |a_{nm}| n^p m^q < \infty, \quad p, q \in \mathbb{N}\}$ . Here the algebra structure is matrix multiplication, and  $A$  is dense because it contains all rank one operators  $\xi \otimes \eta$ ,  $\xi, \eta \in \mathcal{S}(\mathbb{N})$ , where  $\mathcal{S}(\mathbb{N}) = \{\phi: \mathbb{N} \rightarrow \mathbb{C} \mid \|\phi\|_p = \sum_n |\phi(n)| n^p < \infty, \quad p \in \mathbb{N}\}$  denotes the set of Schwartz functions on  $\mathbb{N}$ .

In both examples,  $A$  is actually a  $\star$ -subalgebra of  $B$ , though this will not be assumed in general. It is natural to ask what properties  $A$  has in common with  $B$ . We look at the representation theory of  $A$ , and begin by asking the general question:

**Question A.** When is every representation of  $A$  contained in a  $\star$ -representation  $B$  on a Hilbert space?

First note that the answer to this question is not always “yes”. Assume  $a \in \tilde{A}$ ,  $a^{-1} \in \tilde{B}$ ,  $a^{-1} \notin \tilde{A}$ . Then  $a$  can neither be left or right invertible in  $\tilde{A}$ , since  $\tilde{A} \subseteq \tilde{B}$ . So  $\tilde{A}a = \langle a \rangle$  is a proper left ideal in  $\tilde{A}$ , and  $E = \tilde{A} / \langle a \rangle$  is an  $A$ -module. (Throughout this paper, “module” will be synonymous with “representation”.) There can be no  $B$ -module  $H$  with  $H \supseteq E$ , since this

would imply  $a[1] = [0]$  and  $a^{-1}a[1] = [1]$ . We say that  $A$  is *spectral invariant* in  $B$  if  $\text{inv}\tilde{A} = \text{inv}(\tilde{B}) \cap \tilde{A}$ , or in other words if every  $a \in \tilde{A}$  is invertible in  $\tilde{A}$  if and only if  $a$  is invertible in  $\tilde{B}$ , or equivalently  $\text{spec}_A(a) = \text{spec}_B(a)$ . We have just proved that a positive answer to Question A implies spectral invariance.

An example of a non spectral invariant pair  $A \subseteq B$  is given by  $B = C([-1, 1]) \supseteq A = \mathcal{A}(D)$ , where  $\mathcal{A}(D)$  is the algebra of holomorphic functions on the open unit disc in the complex plane, with continuous extension to the boundary. The inclusion map is restriction to the interval  $[-1, 1]$ . The subalgebra  $A$  is dense because it contains 1 and the identity function  $\text{id}(z) = z$  (or use the Stone-Weierstrass theorem). (In fact,  $A$  is also a  $\star$ -subalgebra of  $B$ , with  $f^*(z) = \overline{f(\bar{z})}$ .) The function  $f(z) = z - i$  is invertible in  $B$ , but not in  $A$ , and the representation of  $A$  on  $\mathbb{C}$  given by  $fv = f(i)v$  does not extend to a representation of  $B$ .

To find examples with a positive answer to Question A, we would therefore look at cases when  $A \subseteq B$  is spectral invariant. Example 2.1 above is such an example since  $f \in C^\infty(M)$  is invertible (in either algebra) if and only if  $f(x) \neq 0$  for all  $x \in M$ .

Consider the case  $M = [0, 1]$ , the unit interval. (To define  $C^\infty[0, 1]$ , we

require one-sided differentiability at the boundary points.) Make  $E = \mathbb{C}^2$  an  $A$ -module with action

$$\varphi \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \varphi(0) & \varphi'(0) \\ 0 & \varphi(0) \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}. \quad (1)$$

Assume for a contradiction that  $E$  extends to a continuous  $B$ -module. Since  $A$  is also dense in  $C^1[0, 1] \subseteq B$ , the action of  $C^1[0, 1]$  on  $E$  must be given precisely by (1), by continuity. Let  $\varphi_n(z) = z^{1+1/n}$ . Then  $\varphi_n \in C^1(S^1) \subseteq B$ ,  $\varphi_n(0) = 0$ ,  $\varphi'_n(z) = (1/n + 1)z^{1/n}$ , and  $\varphi'_n(0) = 0$ . Therefore

$$\varphi_n \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \varphi_n(0) & \varphi'_n(0) \\ 0 & \varphi_n(0) \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}. \quad (2)$$

But  $\varphi_n \rightarrow z$  in sup norm (the norm on  $B$ ), and

$$z \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} x_2 \\ 0 \end{pmatrix}. \quad (3)$$

This contradicts the continuity of the extension. In particular, there is no extension to a  $\star$ -representation of  $B$  on a Hilbert space, so the answer to Question A is still “no” in this very simple case, where  $A$  is spectral invariant in  $B$ . (It is interesting to note that the kernel of this representation of  $A$  is in fact a closed  $\star$ -ideal in  $A$ .)

The problem in making a continuous extension appears to be that by

allowing  $E$  to have nontrivial invariant subspaces (i.e.  $\begin{pmatrix} \mathbb{C} \\ 0 \end{pmatrix}$ ), one gets representations which are not point evaluations.<sup>1</sup> We modify Question A and replace it with:

**Question B.** When is every irreducible  $A$ -module contained in a  $\star$ -representation of  $B$  on a Hilbert space?

If irreducible means “algebraically irreducible”, the answer to Question B is “yes” if and only if  $A$  is spectral invariant in  $B$  [Sch1, 1992].

**Definition 2.3.** In this paper, by an *irreducible*  $A$ -module we mean a topologically irreducible, continuous Fréchet  $A$ -module. We say that  $A$  is an  *$m$ -convex Fréchet algebra* if the algebra  $A$  is a Fréchet space such that  $\|ab\|_n \leq C_n \|a\|_n \|b\|_n$  for some choice of seminorms  $\{\|\cdot\|_n\}_{n=0}^\infty$  for  $A$ , and constants  $C_n > 0$  [Mi, 1952]. The inclusion  $A \hookrightarrow B$  is always assumed continuous. An  $A$ -module  $E$  is a *Fréchet  $A$ -module* if  $E$  is a Fréchet space such that the action  $A \times E \rightarrow E$  is jointly continuous. *Topologically irreducible*

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<sup>1</sup> Another route is to use the  $\star$ -operation on  $A$ , and require  $E$  to be a Hilbert space with a  $\star$ -representation of  $A$ . It follows from the spectral invariance of  $A$  in  $B$  that  $B$  must be the enveloping  $C^*$ -algebra of  $A$  (the strongest  $C^*$ -completion of  $A$ ). In the case that the representation of  $A$  is required to be by bounded operators, then *all* such representations would extend to  $B$  (on the same Hilbert space), and the problem becomes trivial.



means that  $E$  has no closed  $A$ -invariant subspaces besides  $\{0\}$  and  $E$ . The containment  $E \hookrightarrow \mathcal{H}$  of  $E$  into the  $\star$ -representation of  $B$  on a Hilbert space  $\mathcal{H}$  is required to be continuous.

In all the examples in this paper,  $A$  will be an  $m$ -convex Fréchet algebra. Question B *does* have a positive answer in the case  $B = C(M) \supseteq A = C^\infty(M)$  [duC1, 1989]. The argument is briefly as follows. Let  $E$  be an irreducible  $A$ -module. Arguing as for irreducible representations of  $C(M)$ , show that there exists  $p \in M$  such that the representation factors through the quotient  $A/\{f \in A \mid f^{(k)}(p) = 0, \quad k = 0, 1, 2, \dots\}$ . This quotient happens to be isomorphic to the Fréchet algebra of power series in  $n$  variables  $\mathbb{C}[x_1, \dots, x_n]$ , where  $n$  is the dimension of the manifold [Tr, 1967], Theorem 38.1. (The identification is given by the Taylor series expansion of  $f \in A$  at the point  $p$ , in the indeterminates  $x_1, \dots, x_n$ .) But  $\mathbb{C}[x_1, \dots, x_n]$  has the unique maximal ideal  $\langle x_1, \dots, x_n \rangle$ , so by irreducibility the representation factors through the quotient of  $\mathbb{C}[x_1, \dots, x_n]$  by  $\langle x_1, \dots, x_n \rangle$ , which is just  $\mathbb{C}$ . Hence  $E$  is just  $\mathbb{C}$  with action  $fz = f(p)z$  for some  $p \in M$ , which clearly extends to a  $\star$ -representation of  $C(M)$  on  $\mathbb{C}$ .

For Example 2.2, the answer to Question B (as well as Question A) is still “no”. Define  $E = l^2(\mathbb{N}, \frac{1}{1+n^2}) = \{\xi: \mathbb{N} \rightarrow \mathbb{C} \mid \|\xi\|_2 = (\sum_n |\xi(n)|^2 \frac{1}{1+n^2})^{1/2} <$

$\infty\}$ , with module action  $(T\xi)(n) = \sum_m T(n, m)\xi(m)$ , for  $T \in \mathcal{K}^\infty$ ,  $\xi \in E$ . This is easily seen to be topologically irreducible and continuous. However,  $E$  is “too big” to be contained in a Hilbert space representation of  $\mathcal{K}$ . Assume  $\mathcal{H} \supseteq E$  for a contradiction. Using the matrix units in  $\mathcal{K}$ , note that  $n, m \mapsto \langle \epsilon_n, \epsilon_m \rangle_{\mathcal{H}}$  must be  $c\delta_{nm}$  for some constant  $c > 0$ , where  $\epsilon_n$  is the step function at  $n \in \mathbb{N}$ . Note that  $1 = \sum \epsilon_m$  converges absolutely in  $E$ , and so in  $\mathcal{H}$ . Hence  $\langle 1, 1 \rangle = \langle \sum \epsilon_n, \sum \epsilon_m \rangle = \sum c = \infty$ , a contradiction. We “tighten up” the allowable  $E$ ’s by replacing Question B with:

**Question C.** Is every algebraically cyclic subrepresentation  $F$  of every irreducible representation  $E$  of  $A$  contained in a  $\star$ -representation of  $B$  on a Hilbert space? Here *algebraically cyclic subrepresentation* means that there is some  $e \in E$  such that  $F = Ae \subseteq E$ , and  $e \in F$ . We give  $F$  the quotient topology from  $A$ .

Note that  $F$  itself is not required to be irreducible. However, the requirement that  $F$  be contained in the irreducible representation  $E$  is sufficient to give a “yes” answer to Question C in both Examples 2.1 and 2.2 [duC1, 1989]. We will outline the proof for  $\mathcal{K}^\infty$  in the proof of Theorem 5.3 below.

### 3 A “yes” answer still implies spectral invariance

As we noted above [Sch1, 1992], if  $A$  is not spectral invariant in  $B$ , then there is an *algebraically* irreducible  $A$ -module not contained in any  $B$ -module. In this section, we show that this is also true for algebraically cyclic submodules of topologically irreducible  $A$ -modules. First consider the case when  $A$  is a Banach algebra. Let  $a \in \tilde{A}$ ,  $a^{-1} \in \tilde{B} - \tilde{A}$ . Then  $a$  is not left invertible in  $\tilde{A}$ ,  $\langle a \rangle$  is a proper left ideal in  $\tilde{A}$ , and by Zorn’s lemma  $\langle a \rangle$  is contained in a maximal ideal  $N$ . ( $N$  is closed since  $\tilde{A}$  has an open group of invertibles.) Then  $E = \tilde{A}/N$  is a topologically irreducible  $A$ -module with no extension to a  $B$ -module. In fact,  $E$  is algebraically irreducible, and so an algebraically cyclic submodule of itself. When  $A$  is an  $m$ -convex Fréchet algebra which is not spectral invariant in  $B$ , the group of invertibles may not be open, but the result is still true:

**Theorem 3.1.** *Let  $A$  be a dense  $m$ -convex Fréchet subalgebra of a Banach algebra  $B$ . Assume that for every topologically irreducible Banach  $A$ -module  $E$ , we know that every algebraically cyclic submodule of  $E$  is contained in a  $B$ -module. Then  $A$  is spectral invariant in  $B$ .*

**Proof:** First redefine the norms  $\{\|\cdot\|_n\}_{n=0}^\infty$  on  $\tilde{A}$  so that they are increasing, and arrange that  $\|\cdot\|_0$  is the norm on  $B$ . Let  $A_n$  be the completion of  $\tilde{A}$  in the  $n$ th norm  $\|\cdot\|_n$ , and let  $A_0 = \tilde{B}$ ,  $A_\infty = \tilde{A}$ . By the sub-multiplicativity of  $\|\cdot\|_n$ , each  $A_n$  is a Banach algebra. If  $n, m \in \mathbb{N} \cup \{\infty\}$  and  $m \geq n$ , let  $\pi_{nm}: A_m \rightarrow A_n$  be the canonical map induced from the identity map from  $\tilde{A}$  to  $\tilde{A}$ . Then  $\pi_{kn} \circ \pi_{nm} = \pi_{km}$  and  $\|\pi_{nm}(a)\|_n \leq \|a\|_m$  for  $a \in A_m$ .

If  $a_n \in A_n$  is a sequence such that  $\pi_{nm}(a_m) = a_n$  for each  $m, n \in \mathbb{N}$ , we show that there is an  $a \in A_\infty$  such that  $\pi_{n\infty}(a) = a_n$ . (This is also done in [Mi, 1952], Theorem 5.1.) Since  $\pi_{n\infty}(A_\infty)$  is dense in  $A_n$ , we may choose  $a_{(n)} \in A_\infty$  such that  $\|\pi_{n\infty}(a_{(n)}) - a_n\|_n < 1/n$ . Then if  $n \geq m$ ,

$$\begin{aligned} \|a_m - \pi_{m\infty}(a_{(n)})\|_m &= \|\pi_{mn}(a_n) - \pi_{mn} \circ \pi_{n\infty}(a_{(n)})\|_m \\ &\leq \|a_n - \pi_{n\infty}(a_{(n)})\|_n < 1/n. \end{aligned} \tag{4}$$

So for each  $m \in \mathbb{N}$ ,  $\pi_{m\infty}(a_{(n)}) \rightarrow a_m$  in  $A_m$ . It follows that  $a_{(n)}$  is Cauchy in each norm, and so Cauchy in  $A_\infty$ . Hence  $a_{(n)} \rightarrow a$  in  $A_\infty$  for some  $a \in A_\infty$ . Clearly  $\pi_{n\infty}(a) = a_n$ , and it follows that  $A_\infty \cong \{[a_n] \in \prod_{n=0}^\infty A_n \mid \pi_{nm}(a_m) = a_n\}$  is an isomorphism of Fréchet algebras.

Since  $A$  is not spectral invariant in  $B$ , there is some  $a \in A_\infty$  such that  $a^{-1} \in A_0 - A_\infty$ . We show that  $a$  is not invertible in some  $A_n$  for some  $n \in \mathbb{N}$ . (This argument is taken from [Mi, 1952], Theorem 5.2, (c).) Assume  $a$  is

invertible in each  $A_n$ , and let  $a_n$  be the inverse of  $a$  in  $A_n$ . Then for  $m \geq n$ ,  $\pi_{nm}(a_m)a = \pi_{nm}(a_ma) = \pi_{nm}(1) = 1$ , and similarly  $a\pi_{nm}(a_m) = 1$ , so by the uniqueness of two sided inverses in  $A_\infty$ ,  $a_n = \pi_{nm}(a_m)$ . By the previous paragraph, it follows that there is a  $b \in A_\infty$  for which  $\pi_{n\infty}(b) = a_n$ . Then  $b$  is an inverse for  $a$ . But  $a^{-1} \notin A_\infty$ , so we conclude that  $a$  is not invertible in some  $A_n$ .

We assume that  $a$  is not left invertible in  $A_n$ . (If  $a$  is not right invertible, the same construction works with right modules in place of left modules.) Let  $N$  be a maximal left ideal in  $A_n$  containing  $a$ . Since any unital Banach algebra has an open group of invertible elements,  $N$  is closed since it is maximal. We thus have a continuous algebraically irreducible Banach  $A_n$ -module  $E = A_n/N$ . Since  $A_\infty$  is dense in  $A_n$  with stronger topology,  $E$  is an irreducible  $A_\infty$ -module. Thus  $E$  is an irreducible Banach  $A$ -module.

If  $\xi$  is the coset of the identity in  $E$ , then  $F = A\xi$  gives an algebraically cyclic subrepresentation of  $A$ . We must check that  $\xi$  actually lies in  $F$ . If  $A$  is unital, this is obvious. Otherwise, we have  $a = \lambda + a'$  for some  $a' \in A$ ,  $\lambda \in \mathbb{C}$ ,  $\lambda \neq 0$ . Then  $\xi = [-a'/\lambda] \in F$ . To see that  $F$  has no extension to a representation of  $B$ , note that  $a\xi = 0$  but  $a$  is invertible in  $\tilde{B}$ .  $\square$

**Remarks:** If all the maps  $\pi_{n0}: A_n \rightarrow B$  are injective in the proof of Theorem

3.1, or if  $A$  is a  $\star$ -subalgebra of  $B$ , then it suffices to consider only left (or right)  $A$ -modules. We can also generalize Theorem 3.1 considerably. The proof does not require that  $A$  be dense in  $B$ . Also,  $B$  could be any topological algebra, as long as some finite number of norms on  $A$  induces a topology stronger than the topology on  $B$ . In fact,  $\tilde{B}$  does not have to have an open group of invertible elements.

## 4 The smooth irrational rotation algebra, and other cases when representations do not extend

Our notation for the  $C^\star$ -algebra associated to a dynamical system  $G, M$  will be  $C^\star(G, M)$ , and  $\mathcal{S}(G, M)$  will denote a smooth subalgebra of Schwartz functions on  $G \times M$ .

**Example 4.1.** Let  $\mathbb{T} = S^1$  denote the circle, viewed as  $[0, 1]$  with endpoints identified. Let  $A_\theta^\infty = \mathcal{S}(\mathbb{Z}, \mathbb{T}) = \mathcal{S}(\mathbb{Z}, C^\infty(\mathbb{T}))$ , where  $\mathbb{Z}$  acts via  $\alpha_p(z) = z + p\theta$ . This is a unital, dense  $m$ -convex Fréchet  $\star$ -subalgebra of the irrational rotation  $C^\star$ -algebra  $A_\theta = C^\star(\mathbb{Z}, \mathbb{T})$ . We know that  $A_\theta^\infty$  is spectral invariant

in  $A_\theta$  since it is the set of  $C^\infty$ -vectors for the following action of  $\mathbb{T}^2$  on  $A_\theta$ :

$$\alpha_{(z_1, z_2)}(F)(n, z) = e^{2\pi i z_1 n} F(n, z + z_2), \quad z_1, z_2, z \in \mathbb{T}, \quad n \in \mathbb{Z}. \quad (5)$$

We construct a topologically irreducible Banach space representation of  $A_\theta^\infty$ , which has an algebraically cyclic subrepresentation not contained in any  $\star$ -representation of  $A_\theta$  on a Hilbert space. Let  $A_\theta^\infty$  act on  $E = L^1(\mathbb{T})$  via

$$(F\psi)(z) = \sum_{n \in \mathbb{Z}} F(n, z) \psi(z - n\theta), \quad z \in \mathbb{T}. \quad (6)$$

Then  $E$  is a Banach  $A_\theta^\infty$ -module. We show that  $E$  is topologically irreducible. Both  $C^\infty(\mathbb{T}) \subseteq A$  and  $L^\infty(\mathbb{T})$  act continuously on  $E$  by pointwise multiplication, and by the density of  $C^\infty(\mathbb{T})$  in  $L^\infty(\mathbb{T})$  in the strong operator topology on  $E$ , it suffices to show that  $\mathbb{Z}$ ,  $L^\infty(\mathbb{T})$  has no non-trivial closed invariant subspaces, or that every nonzero  $\eta \in E$  is cyclic. Let  $S$  be the Borel set  $\{z \in \mathbb{T} \mid |\eta(z)| > \epsilon\}$ , where  $\epsilon > 0$  is sufficiently small that  $S$  has nonzero measure. Then the characteristic function  $\chi_S$  is in  $L^\infty(\mathbb{T})\eta$ . Let  $S_N = \cup_{|n| \leq N} \{S + n\theta\}$ . Then  $\chi_{S_N}$  is in the  $\mathbb{Z}$ ,  $L^\infty(\mathbb{T})$  span of  $\chi_S$ . Also  $\chi_{S_N} \rightarrow \chi_{S_\infty}$  in  $E$ . It is well known that the action of  $\mathbb{Z}$  on  $\mathbb{T}$  by an irrational rotation is ergodic; therefore  $\mu(S_\infty)\mu(\mathbb{T} - S_\infty) = 0$  since  $S_\infty$  is  $\mathbb{Z}$ -invariant. But  $\mu(S_\infty) \geq \mu(S) > 0$ , so  $\mu(\mathbb{T} - S_\infty) = 0$ . Hence  $\chi_{S_\infty} = 1 \in \overline{A\eta}^E$ , and so  $\overline{A\eta}^E = E$ .

Let  $\xi$  be any nonnegative element of  $E$  which is infinitely differentiable except at some point  $p \in \mathbb{T}$ , and which grows like  $|z-p|^{-1/2}$  in a neighborhood of  $p$ . Then  $\xi \in L^1(\mathbb{T}) - L^2(\mathbb{T})$ . Let  $F$  be the algebraically cyclic submodule  $A_\theta^\infty \xi$ .

We show that  $1 \in F$ . Let  $f \in C^\infty(\mathbb{T})$  be any function for which  $f\xi$  is not identically zero, and is always nonnegative and differentiable on  $\mathbb{T}$  (such functions exist since  $\xi$  is differentiable and nonzero on some interval). By the compactness of  $\mathbb{T}$ , the sum of finitely many translates (by multiples of  $\theta$ ) of  $f\xi$  will be nonvanishing on  $\mathbb{T}$ . Let  $\psi$  be this sum. Then  $\psi \in F$  and  $1/\psi \in C^\infty(\mathbb{T})$  so  $1 \in F$ .

Assume for a contradiction that there is a  $\star$ -representation of  $A_\theta$  on a Hilbert space  $\mathcal{H}$  containing  $F$ . Define a  $\mathbb{Z}$ -invariant positive linear functional on  $C(\mathbb{T})$  by  $x^\star(f) = \langle f1, 1 \rangle$ , where  $\langle \cdot, \cdot \rangle$  is the inner product on  $\mathcal{H}$ . Then since the translates  $f_{z_n} \rightarrow f_{z_0}$  in  $C(\mathbb{T})$  if  $z_n \rightarrow z_0$ , and  $\mathbb{Z}$ -orbits are dense in  $\mathbb{T}$ , we see that  $x^\star(f_z) = x^\star(f)$  for  $z \in \mathbb{T}$ . By the Riesz Representation Theorem, [Ru, 1966], Theorem 2.14,

$$x^\star(f) = \int_{\mathbb{T}} f d\mu \tag{7}$$

for some translation invariant positive Borel measure  $\mu$  on  $\mathbb{T}$ . By uniqueness of Haar measure,  $\mu$  must be Lebesgue measure on the circle (times a



constant).

Let  $f_n \in C^\infty(\mathbb{T})$  be functions  $0 \leq f_n \leq 1$  satisfying

$$f_n(z) = \begin{cases} 0 & |z - p| \leq 1/(n+1) \\ 1 & |z - p| \geq 1/n. \end{cases} \quad (8)$$

Then since  $f_n \in C^\infty(\mathbb{T})$  and  $\xi$  grows like  $|z - p|^{-1/2}$  near  $p$ , we know that

$$\langle f_n \xi, f_n \xi \rangle = \langle |f_n \xi|^2 1, 1 \rangle = x^*(|f_n \xi|^2) = \int_{\mathbb{T}} |f_n \xi|^2 d\mu \longrightarrow \infty \quad (9)$$

as  $n \longrightarrow \infty$ . (The first step used  $f_n \xi \in C(\mathbb{T})$ .) Since the representation of  $A_\theta$  on  $\mathcal{H}$  is continuous, and  $\{f_n\}$  is a bounded set of elements of  $A_\theta$ , we must have  $\langle f_n \xi, f_n \xi \rangle$  bounded, which is a contradiction. Thus there can be no  $\star$ -representation of  $A_\theta$  on a Hilbert space which extends the representation of  $A_\theta^\infty$  on  $F$ .

Since  $A_\theta^\infty$  is spectral invariant in  $A_\theta$ , this shows that the converse of Theorem 3.1 is not true. Note that since spectral invariance is equivalent to the existence of extensions for simple modules,  $F$  cannot be algebraically irreducible.

**Example 4.2.** We give a few examples of what happens with a dense subalgebra of a GCR (or Type I)  $C^*$ -algebra which is not CCR. Let  $M$  be the

one point compactification of the integers, and let  $\mathbb{Z}$  act on  $M$  by

$$\alpha_n(z) = \begin{cases} z + n & z \in \mathbb{Z} \\ z & z = \infty. \end{cases} \quad (10)$$

The  $C^*$ -crossed product  $B = C^*(\mathbb{Z}, M)$  is not CCR since the orbit  $\mathbb{Z}$  is not closed in  $M$  [Wi, 1981]. However, it is GCR since  $M/\mathbb{Z}$  is  $T_0$  [Go, 1973]. Let  $A = \mathcal{S}(\mathbb{Z}, C(M))$ .

Let  $E = C_0(\mathbb{Z})$ . Then  $E$  is a closed two-sided  $\mathbb{Z}$ -invariant ideal in  $C(M)$  (with isometric and hence tempered action of  $\mathbb{Z}$  by translation), so we may view  $E$  as a Banach  $A$ -module.

We show that  $E$  is a topologically irreducible  $A$ -module. Let  $\eta$  be any nonzero element of  $E$ . Then by multiplying by some appropriate element of  $C(M) \subseteq A$ , we may assume that  $\eta = \delta_n$  for some  $n \in \mathbb{Z}$ , where

$$\delta_n(m) = \begin{cases} 1 & m = n \\ 0 & m \neq n \end{cases}, \quad m \in \mathbb{Z}. \quad (11)$$

Letting  $\mathbb{Z}$  act on  $\eta$  shows that every finitely supported element of  $E$  is in  $A\eta$ . Since  $C_c(\mathbb{Z})$  is dense in  $E$ , this shows that  $E$  is topologically irreducible.

Let  $\xi \in E$  be such that  $\xi \notin l^2(\mathbb{Z})$ . (For example, take a weighted sum of step functions, where the weight of the  $n$ th step function is  $1/|n|^{1/2}$ .) Let

$F = A\xi$  be the corresponding algebraically cyclic subrepresentation. (Since  $1 \in A$ , we have  $\xi \in F$ .) Note that  $F$  contains every element of  $C_c(\mathbb{Z})$ .

Assume that  $F$  is contained in a  $\star$ -representation  $\pi$  of  $B$  on a Hilbert space  $\mathcal{H}$ . Since the representation of  $\mathbb{Z}$  on  $\mathcal{H}$  is unitary, we have

$$\langle \delta_n, \delta_m \rangle = \langle \pi(k)\delta_n, \pi(k)\delta_m \rangle = \langle \delta_{n+k}, \delta_{m+k} \rangle, \quad n, m, k \in \mathbb{N}, \quad (12)$$

where  $\langle \cdot, \cdot \rangle$  is the inner product on  $\mathcal{H}$ . Also, since the representation of  $C(M)$  on  $\mathcal{H}$  is a  $\star$ -representation, we have

$$\langle \delta_n, \delta_m \rangle = \langle \pi(\delta_n)\delta_n, \delta_m \rangle = \langle \delta_n, \pi(\delta_n)\delta_m \rangle = 0, \quad m \neq n. \quad (13)$$

It follows that

$$\langle \delta_n, \delta_m \rangle = \begin{cases} c & n = m \\ 0 & n \neq m \end{cases} \quad (14)$$

for some  $c > 0$ , so the inner product on  $\mathcal{H}$ , on elements of  $C_c(\mathbb{Z})$ , is precisely the inner product on  $l^2(\mathbb{Z})$ .

Let  $\varphi_n \in C(M)$  be equal to 1 in the interval  $[-n, n]$ , and equal to zero outside of  $[-n, n]$ . Then  $\delta_0 \otimes \varphi_n$  is a bounded sequence in  $B$ , so  $\langle \varphi_n \xi, \varphi_n \xi \rangle \leq D \langle \xi, \xi \rangle$ , for some constant  $D$ . Since  $\varphi_n \xi \in C_c(\mathbb{Z})$ , we know  $\langle \varphi_n \xi, \varphi_n \xi \rangle = \sum_{k=-n}^n c |\xi(k)|^2$ . This tends to  $\infty$  since  $\xi \notin l^2(\mathbb{Z})$ , so we have a contradiction. Hence there is no  $\star$ -representation of  $B$  on a

Hilbert space  $\mathcal{H}$  containing  $F$ .

For another (similar) GCR, non-CCR example, consider the unitization of  $\mathcal{K}^\infty$ . Then the algebraically cyclic submodule  $(\tilde{\mathcal{K}}^\infty) \cdot 1$  of the  $E$  defined near the end of §2 is contained in no  $\star$ -representation of  $\tilde{\mathcal{K}}$  (or  $\mathcal{K}$ ) on a Hilbert space, by the argument in §2. As in Example 4.1, both the dense subalgebras  $A$  in Example 4.2 and  $\tilde{\mathcal{K}}^\infty$  are spectral invariant, again showing that the converse of Theorem 3.1 is not true.

## 5 Differentiable representations

**Definition 5.1.** We say that a Fréchet  $A$ -module  $E$  is *non-degenerate* (*differentiable*) if  $\{v \in E \mid Av = 0\} = \{0\}$  and the image of the canonical map  $A \hat{\otimes} E \rightarrow E; a \otimes e \mapsto ae$  is dense (onto) [duC2, 1991]. (All tensor products will be completed in the projective topology.) We make the same definition for right modules, and say that  $A$  is *self-differentiable* if  $A$  is differentiable both as a left and right module over itself.

Note that if  $A$  is unital, every  $A$ -module is differentiable. In the case  $A = C_c^\infty(G)$ , the convolution algebra of compactly supported  $C^\infty$ -functions on a Lie group  $G$ , an  $A$ -module  $E$  is differentiable if and only if the underlying

action of  $G$  on  $E$  is  $C^\infty$  [DM, 1978].

One advantage to using differentiable representations is that Morita equivalences work out. For example, let  $D$  be a subgroup of  $\mathbb{Z}^2$ . Then the smooth crossed product  $A_1 = \mathcal{S}(\mathbb{Z}^2, \mathbb{Z}^2/D)$  (with action by translation) and the convolution algebra  $A_2 = \mathcal{S}(D)$  have the differentiable  $A_1$ - $A_2$  bimodule  $X = \mathcal{S}(\mathbb{Z}^2)$ . From this one obtains a natural (Morita) equivalence of the category of differentiable  $A_1$ -modules to the category of differentiable  $A_2$ -modules, which preserves topological irreducibility. For the corresponding  $C^*$ -algebras  $B_1 = C^*(\mathbb{Z}^2, \mathbb{Z}^2/D)$  and  $B_2 = C^*(D)$  it is well known that  $Y = C^*(\mathbb{Z}^2)$  is an  $B_1$ - $B_2$  equivalence bimodule [Ri, 1974], giving an equivalence of the category of  $\star$ -representations of  $B_1$  with the category of  $\star$ -representations of  $B_2$ , which preserves irreducibility. It is not hard to check that the two equivalences preserve extensions. If  $E \rightarrow \mathcal{H}$  is a morphism of a differentiable  $A_2$ -module  $E$  into a  $\star$ -representation of  $B_2$  on  $\mathcal{H}$ , then  $X \hat{\otimes}_{A_2} E \rightarrow Y \overline{\otimes}_{B_2} \mathcal{H}$  is a morphism of a differentiable  $A_1$ -module to a  $\star$ -representation of  $B_1$ . Note that if  $E$  is topologically irreducible, then so is  $X \hat{\otimes}_{A_2} E$ , and both morphisms into the Hilbert spaces must be injective.

If  $E$  is a non-degenerate  $A$ -module, we let  $E_s(A)$  be the image of the canonical map  $A \hat{\otimes} E \rightarrow E$  [duC2, 1991]. Then  $E_s(A)$  inherits the quotient

topology from  $A\widehat{\otimes}E$ , making  $E_s(A)$  a Fréchet  $A$ -module. When  $A$  is self-differentiable, the  $A$ -module  $E_s(A)$  is always differentiable. (Use the fact that the canonical maps  $A\widehat{\otimes}E \rightarrow E$  and  $A\widehat{\otimes}A \rightarrow A$  are both onto, so that  $A\widehat{\otimes}(A\widehat{\otimes}E) \rightarrow E$  is onto, and then factor through to the quotient.)

**Lemma 5.2.** *Let  $A$  be a self-differentiable  $m$ -convex Fréchet algebra. Then every algebraically cyclic submodule of an irreducible  $A$ -module is contained in a differentiable irreducible  $A$ -module.*

**Proof:** Let  $E$  be an irreducible  $A$ -module. Let  $F$  be any nonzero  $A$ -invariant closed subspace of  $E_s(A)$ . Since the closure of  $F$  in  $E$  is  $E$  by irreducibility, the canonical map  $A \otimes F \rightarrow E_s(A)$  must have dense image. But the image is contained in  $F$ , so  $F = E_s(A)$ . Thus  $E_s(A)$  is irreducible. Every algebraically cyclic submodule of  $E$  is the image of a set of the form  $A \otimes \{\xi\}$  via the canonical map, and hence contained in  $E_s(A)$ .  $\square$

Thus a positive answer to the following question will imply a positive answer to Question C (and therefore also imply spectral invariance):

**Question D.** Is every differentiable irreducible representation  $E$  of  $A$  contained in a  $\star$ -representation of  $B$  on a Hilbert space  $\mathcal{H}$ ?

**Theorem 5.3.** *Let  $\vec{v}_1, \vec{v}_2$  be two vectors in  $\mathbb{R}^2$ . Let  $\mathbb{Z}^2$  act on  $\mathbb{R}^2$  via*

$$\alpha_{\vec{n}}(\vec{r}) = \vec{r} + n_1\vec{v}_1 + n_2\vec{v}_2. \tag{15}$$

Let  $B = C^*(\mathbb{Z}^2, \mathbb{R}^2)$  be the  $C^*$ -algebra associated with the dynamical system, and let  $A = \mathcal{S}(\mathbb{Z}^2, \mathbb{R}^2)$  be the canonical dense (self-differentiable and  $m$ -convex) Fréchet subalgebra of Schwartz functions. The following are equivalent.

- (i) Every differentiable topologically irreducible  $A$ -module is contained in a  $\star$ -representation of  $B$  on a Hilbert space.
- (ii) Every algebraically cyclic subrepresentation of every topologically irreducible  $A$ -module is contained in a  $\star$ -representation of  $B$  on a Hilbert space.
- (iii)  $\vec{v}_1$  is not an irrational multiple of  $\vec{v}_2$ .
- (iv) All the  $\mathbb{Z}^2$ -orbits are closed.
- (v)  $B$  is CCR.

**Remark:** Theorem 5.3 does not include any cases when  $B$  is GCR but not CCR, but Example 4.2 above ( $\mathbb{Z}$  acting on its one-point compactification) shows that representations may not extend in such cases.

**Proof:**  $(iii) \Rightarrow (i)$  First assume that  $\vec{v}_1$  and  $\vec{v}_2$  do not lie on the same line. Then every orbit is a translate of a (possibly slanted) copy of  $\mathbb{Z}^2$ , and a discrete subgroup of  $\mathbb{R}^2$ . Let  $\Omega \subset \mathbb{R}^2$  be open and  $\mathbb{Z}^2$ -invariant. Define

$$J_\Omega = \{f \in A \mid \text{supp}(F(\vec{n}, \cdot)) \subseteq \Omega, \vec{n} \in \mathbb{Z}^2\}. \quad (16)$$

By the formula for convolution multiplication:

$$F * G(\vec{n}, \vec{r}) = \sum_{\vec{m} \in \mathbb{Z}^2} F(\vec{m}, \vec{r}) G(\vec{n} - \vec{m}, \vec{r} + m_1 \vec{v}_1 + m_2 \vec{v}_2), \quad (17)$$

we see that  $J_\Omega$  is always a two-sided ideal in  $A$  (though rarely closed). Also by this formula, note that if  $\Omega_1 \cap \Omega_2 = \emptyset$ , then  $J_{\Omega_1} J_{\Omega_2} = 0$ .

Consider the parallelogram  $P$  in  $\mathbb{R}^2$  spanned by  $\vec{v}_1$  and  $\vec{v}_2$ . Then distinct points in the interior  $P^0$  give rise to disjoint  $\mathbb{Z}^2$ -orbits, and any two distinct points  $p_1, p_2$  can be separated by disjoint open subsets  $U_1, U_2$  of  $P^0$ . The  $\mathbb{Z}^2$ -orbits of  $U_1, U_2$  give rise to disjoint  $\mathbb{Z}^2$ -invariant open sets  $\Omega_1, \Omega_2$ . Let  $E$  be a differentiable irreducible Fréchet  $A$ -module. Note that  $J_{\Omega_i} E$  is either dense in  $E$ , or 0, by topological irreducibility. Thus if  $J_{\Omega_1} J_{\Omega_2} E = 0$ , then  $J_{\Omega_i} E = 0$  for some  $i$ . A similar argument works if one or more of the points lies on the boundary of  $P$ .

Using a partition of unity, we can show that if  $J_{\Omega_\alpha} E = 0$  for  $\{\Omega_\alpha\}$  a family of open  $\mathbb{Z}^2$ -invariant sets, then  $J_{\cup \Omega_\alpha} E = 0$ . Thus there is some largest open  $\mathbb{Z}^2$ -invariant open set  $\Omega_{max}$  such that  $J_{\Omega_{max}} E = 0$ . By the preceding paragraph (and by maximality), the complement of  $\Omega_{max}$  cannot contain any  $\mathbb{Z}^2$ -invariant set with more than one orbit - in other words,  $\Omega_{max} = orb^c$  for some orbit  $orb$ . Let  $J = J_{\Omega_{max}}$ .



An easy argument taking limits shows that

$$\overline{J}^A = \{F \in A \mid \partial_{r_1}^l \partial_{r_2}^k F(\vec{n}, |_{orb}) = 0, k, l = 0, 1, \dots, \quad \vec{n} \in \mathbb{Z}^2\}. \quad (18)$$

Also by taking limits,  $E$  factors to an  $A/\overline{J}$ -module. Let

$$I = \{f \in \mathcal{S}(\mathbb{R}^2) \mid \partial_{r_1}^l \partial_{r_2}^k f(|_{orb}) = 0, k, l = 0, 1, \dots\}. \quad (19)$$

Then  $\mathcal{S}(\mathbb{R}^2)/I \cong \mathcal{S}(orb) \widehat{\otimes} \mathbb{C}[[x, y]]$ . using an argument similar to the one in §2 for  $C^\infty(M)$ . (An isomorphism  $\mathcal{S}(\mathbb{R}^2)/I \rightarrow \mathcal{S}(orb) \widehat{\otimes} \mathbb{C}[[x, y]]$  is given by  $[f] \mapsto \sum_{k, l \geq 0} (\partial_{r_1}^k \partial_{r_2}^l f)(\vec{r}) x^k y^l, \vec{r} \in orb$ .) Then

$$A/\overline{J} \cong \mathcal{S}(\mathbb{Z}^2, A/I) \cong \mathcal{S}(\mathbb{Z}^2, orb) \widehat{\otimes} \mathbb{C}[[x, y]] \quad (20)$$

as Fréchet spaces. Note that

$$\begin{aligned} \alpha_{\vec{n}}(\partial_{r_1}^l \partial_{r_2}^k \varphi)(\vec{r} \in orb) &= (\partial_{r_1}^k \partial_{r_2}^l \varphi)(\vec{r} - n_1 \vec{v}_1 - n_2 \vec{v}_2) \\ &= (\partial_{r_1}^k \partial_{r_2}^l \alpha_{\vec{n}}(\varphi))(\vec{r}), \end{aligned} \quad (21)$$

by linearity, so the Fréchet algebra structure on the tensor product in (20) is just the natural tensor product of Fréchet algebras. Thus  $E$  factors to an irreducible  $\mathcal{S}(\mathbb{Z}^2, orb)$ -module. But  $\mathcal{S}(\mathbb{Z}^2, orb) \cong \mathcal{S}(\mathbb{Z}^2, \mathbb{Z}^2)$ , with  $\mathbb{Z}^2$  acting by translation, which is isomorphic to  $\mathcal{K}^\infty$  via  $(\theta F)(\vec{n}, \vec{m}) = F(-\vec{m}, \vec{n} - \vec{m})$ .

We recall the classification of differentiable  $\mathcal{K}^\infty$ -modules from [duC1, 1989]. Since  $\mathcal{K}^\infty \widehat{\otimes} E \rightarrow E$  is onto,  $E$  is a quotient of the  $\mathcal{K}^\infty$ -module

$\mathcal{K}^\infty \widehat{\otimes} E = \mathcal{S}(\mathbb{Z}, F)$ , where  $F = \mathcal{S}(\mathbb{Z}, E)$ .  $\mathcal{K}^\infty$  acts only on the  $\mathcal{S}(\mathbb{Z})$  part of  $\mathcal{S}(\mathbb{Z}, F)$ . Let  $E_1$  be a closed  $\mathcal{K}^\infty$ -submodule of  $\mathcal{S}(\mathbb{Z}, F)$ . Let  $\epsilon_0 \in \mathcal{S}(\mathbb{Z})$  be the step function at  $0 \in \mathbb{Z}$ . Let  $F_1 = \{f \in F \mid \epsilon_0 \otimes f \in E_1\}$ . Identify  $F_1$  with  $\epsilon_0 \otimes F_1 \subseteq \mathcal{S}(\mathbb{N}, F)$ . Then  $e_{n0}F_1 \subseteq E_1$  for every  $n$ , so  $\mathcal{S}(\mathbb{Z}) \otimes F_1 \subseteq E_1$ . Closing in the Schwartz topology in  $\mathcal{S}(\mathbb{Z}, F)$ , we get  $\mathcal{S}(\mathbb{Z}, F_1) = E_1$ . We have proved that  $E$  is of the form  $\mathcal{S}(\mathbb{Z}, F)/\mathcal{S}(\mathbb{Z}, F_1) = \mathcal{S}(\mathbb{Z}, F/F_1)$ . Using irreducibility,  $F/F_1 = \mathbb{C}$ , and  $E = \mathcal{S}(\mathbb{Z})$ , with the standard action of  $\mathcal{K}^\infty$ . This is clearly contained in the standard  $\star$ -representation of  $\mathcal{K}$  on  $l^2(\mathbb{Z})$ , and completes the proof of  $(iii) \Rightarrow (i)$  when  $\vec{v}_1$  and  $\vec{v}_2$  span  $\mathbb{R}^2$ .

Next, assume that  $v_1$  and  $v_2$  point in the same direction, but that  $v_1 = (p/q)v_2$  for some rational number  $p/q$ . This is similar to the above case. A differentiable irreducible representation  $E$  of  $A$  factors through to a differentiable irreducible representation of  $\mathcal{S}(\mathbb{Z}^2, \mathbb{R})$ , where the first copy of  $\mathbb{Z}$  translates by 1, and the second by  $p/q$ . Factoring further, we get a representation of  $\mathcal{S}(\mathbb{Z}^2, \mathbb{Z}^2/D)$ , where  $D$  is the isotropy subgroup of some  $\mathbb{Z}^2$ -orbit on  $\mathbb{R}$ . By the Morita equivalence results mentioned above, we are reduced to showing that all differentiable irreducible representations of  $\mathcal{S}(D) \cong \mathcal{S}(\mathbb{Z})_{conv} \cong C^\infty(\mathbb{T})_{ptwise}$  extend to  $\star$ -representations of  $C^*(\mathbb{Z})_{conv} \cong C(\mathbb{T})_{ptwise}$  on Hilbert

spaces. But we have seen this in §2. (They are all point evaluations.)

(ii)  $\Rightarrow$  (iii) Assume that  $v_1 = \gamma v_2$ ,  $\gamma$  irrational. Without loss of generality, we may replace  $A$  with the quotient algebra  $\mathcal{S}(\mathbb{Z}^2, \mathbb{R})$ , where the first copy of  $\mathbb{Z}$  translates by 1, and the second by  $\gamma$ . Let  $E = L^1(\mathbb{R}, d\mu)$ , with  $\mu$  Lebesgue measure. Let  $\mathbb{Z}^2$  act on  $E$  by  $(\vec{n}\xi)(r) = \xi(r - n_1 - n_2\gamma)$  and let  $\mathcal{S}(\mathbb{R})$  act on  $E$  by pointwise multiplication. This gives a covariant (tempered) representation, which integrates to a representation of  $A$  on  $E$ . Standard arguments show that  $E$  is a topologically irreducible  $A$ -module.

Let  $F = A\xi$ , for some  $\xi \in L^1(\mathbb{R}) - L^2(\mathbb{R})$ ,  $\xi$  supported in the interval  $[-1, 1]$ , and  $\xi$  infinitely differentiable except at 0. Note that  $\xi \in F$  since the pointwise multiplication operators  $C_c^\infty(\mathbb{R})$  are contained in  $\mathcal{S}(\mathbb{R}) \subseteq A$ . Also  $C_c^\infty(\mathbb{R}) \subseteq F \subseteq E$  since  $\xi$  is smooth on an interval.

Assume for a contradiction that  $F$  is contained in a  $\star$ -representation of  $C^*(\mathbb{Z}^2, \mathbb{R})$  on a Hilbert space  $\mathcal{H}$ . (By replacing  $\mathcal{H}$  with the closure of  $F$  in  $\mathcal{H}$ , we may assume that  $F$  is dense in  $\mathcal{H}$ .) Let  $\eta \in C_c^\infty(\mathbb{R}) \subseteq \mathcal{S}(\mathbb{R})$  and let  $\psi \in C_c^\infty(\mathbb{R}) \subseteq F$  satisfy  $\psi(x) = 1$  for  $x$  in some neighborhood of the support of  $\eta$ , and  $0 \leq \psi \leq 1$ . Then we define

$$\Phi(\eta) = \langle \eta\psi, \psi \rangle. \quad (22)$$

If  $\psi'$  is another element of  $C_c^\infty(\mathbb{R})$  satisfying the same properties as  $\psi$ , it is easily checked that  $\langle \eta\psi, \psi \rangle = \langle \eta\psi', \psi' \rangle$ . (Multiply  $\eta$  by the square of some nonnegative function  $\psi'' \in C_c^\infty(\mathbb{R})$  which is equal to one on the support of  $\eta$  and vanishes wherever  $\psi$  and  $\psi'$  disagree.) So  $\Phi: C_c^\infty(\mathbb{R}) \rightarrow \mathbb{C}$  is a well-defined function. Picking  $\psi$  so that  $\psi = 1$  in a neighborhood of the support of  $\eta_1$  and  $\eta_2$ , we see that  $\Phi(\eta_1 + \eta_2) = \Phi(\eta_1) + \Phi(\eta_2)$ . Similarly  $\Phi(c\eta) = c\Phi(\eta)$  for  $c \in \mathbb{C}$  and  $\Phi(\alpha_{\tilde{n}}(\eta)) = \Phi(\eta)$ . Moreover,  $\Phi(\eta_r) = \Phi(\eta)$  for  $r \in \mathbb{R}$ , since  $\mathbb{Z} + \mathbb{Z}\gamma$  is a dense subgroup of  $\mathbb{R}$ , and the translates  $\eta_{r_n} \rightarrow \eta_{r_0}$  in  $C_c^\infty(\mathbb{R})$  if  $r_n \rightarrow r_0$  in  $\mathbb{R}$ . If  $\eta \geq 0$ , then  $\eta$  has a square root in  $C_0(M)$ , so  $\Phi(\eta) = \langle \eta^{1/2}\psi, \eta^{1/2}\psi \rangle \geq 0$ . Now use the continuity of the representation of  $C_0(\mathbb{R})$  on  $\mathcal{H}$  to extend  $\Phi$  to be defined on  $C_c(\mathbb{R})$  and not just  $C_c^\infty(\mathbb{R})$ . Since  $\Phi$  is a translation invariant positive linear functional on  $C_c(\mathbb{R})$ , by the Riesz Representation Theorem [Ru, 1966], Theorem 2.14, there is some  $\mathbb{R}$ -invariant positive Borel measure  $\nu$  on  $\mathbb{R}$  such that  $\Phi(\eta) = \int_{\mathbb{R}} \eta(x) d\nu(x)$ . By translation invariance,  $\nu$  must be (a scalar multiple of) Lebesgue measure. Thus on functions  $\eta_1, \eta_2 \in C_c^\infty(\mathbb{R}) \subseteq F$ , the inner product on  $\mathcal{H}$  is just given by the  $L^2(\mathbb{R}, d\mu)$  inner product of  $\eta_1$  and  $\eta_2$ . Since  $C_c^\infty(\mathbb{R})$  is dense in  $F$  and hence dense in  $\mathcal{H}$ , we must have  $\mathcal{H} \cong L^2(\mathbb{R})$ .

Finally, let  $\chi_n \in C_c^\infty(\mathbb{R})$  satisfy  $\chi_n(r) = 1$  for  $r \in [-1, -\epsilon] \cup [\epsilon, 1]$ ,  $\chi_n(r) =$

0 for  $r$  in some neighborhood of zero,  $0 \leq \chi_n \leq 1$ , and  $\text{supp}(\chi_n) \subseteq [-2, 2]$ .

Then

$$\langle \chi_n \xi, \chi_n \xi \rangle = \int |\chi_n \xi(r)|^2 dr \rightarrow \infty \quad (23)$$

as  $n \rightarrow \infty$ . But  $\chi_n$  is a bounded sequence in  $C_0(\mathbb{R})$ , so this gives a contradiction.

So we have  $(ii) \Rightarrow (iii) \Rightarrow (i)$ .  $(i) \Rightarrow (ii)$  follows from Lemma 5.2, and  $(iii) \Leftrightarrow (iv)$  is obvious.  $(iv) \Leftrightarrow (v)$  is well-known [Wi, 1981].  $\square$

## 6 When algebraically cyclic subrepresentations extend, but differentiable representations do not

We construct a dense self-differentiable subalgebra of the compact operators, which is partly smooth and partly not-smooth, and which has a differentiable irreducible representation that does not extend, though each algebraically cyclic subrepresentation of each irreducible representation does extend. This is a case when the answer is “yes” to Question C but “no” to Question D.

**§6.1. Let  $A$  be the smooth crossed product  $\mathbb{Z} \rtimes_{\alpha} c_0(\mathbb{Z})$ , where  $\alpha$  is translation.** Let  $A$  be the Fréchet algebra whose underlying Fréchet space is  $\mathcal{S}(\mathbb{Z}) \hat{\otimes}_{c_0}(\mathbb{Z})$ , with multiplication given by

$$F * G(n, m) = \sum_{k \in \mathbb{Z}} F(k, m) G(n - k, m - k). \quad (24)$$

Here  $\mathcal{S}(\mathbb{Z}) = \{\varphi: \mathbb{Z} \rightarrow \mathbb{C} \mid \|\varphi\|_p = \sum_k |\varphi(k)| \sigma(k)^p < \infty, \quad p \in \mathbb{N}\}$  with scale

$$\sigma(k) = 1 + |k|, \quad (25)$$

denotes the set of Schwartz functions on  $\mathbb{Z}$ , and  $c_0(\mathbb{Z})$  is the Banach space of sequences on  $\mathbb{Z}$  which vanish at  $\infty$ . We can make the identification of Fréchet spaces  $\mathcal{S}(\mathbb{Z}) \hat{\otimes}_{c_0}(\mathbb{Z}) \cong \mathcal{S}(\mathbb{Z}, c_0(\mathbb{Z}))$  by [Tr, 1967], Theorems 44.1 and 50.1(f). Let  $B$  be the  $C^*$ -crossed product  $\mathbb{Z} \rtimes c_0(\mathbb{Z})$ . In the standard representation on the Hilbert space  $\ell^2(\mathbb{Z})$ ,

$$F * \xi(m) = \sum_{k \in \mathbb{Z}} F(k, m) \xi(m - k), \quad (26)$$

$B$  is the  $C^*$ -algebra of compact operators.

**§6.2.  $A$  is a self-differentiable Fréchet algebra.** Since  $A$  is a smooth crossed product and the scale  $\sigma$  in (25) is submultiplicative,  $A$  is an  $m$ -convex Fréchet algebra by [Sch2, 1993], Theorem 3.1.7. Also  $A$  is self-differentiable

by [Sch4, 1994], Theorem 5.12, since the pointwise-multiplication algebra  $c_0(\mathbb{Z})$  is self-differentiable. (In fact the algebraic tensor product  $c_0(\mathbb{Z}) \otimes c_0(\mathbb{Z})$  maps onto  $c_0(\mathbb{Z})$  via the canonical mapping.)

**§6.3. An irreducible differentiable representation of  $A$  that doesn't extend to  $B$ .** Let  $E$  be the Banach space  $c_0(\mathbb{Z})$ , and define  $A$ 's action on  $E$  by the same formula as (26). Then  $E$  is a differentiable  $A$ -module by [Sch4, 1994], Theorem 5.3. It is not hard to show  $\delta_0 \in A\xi$  for any nonzero  $\xi \in E$ , and that finite support functions  $c_f(\mathbb{Z})$  are contained in  $A\delta_0$ , so  $E$  is a topologically irreducible  $A$ -module. But  $E$  cannot extend to a  $B$ -module, by an argument similar to the one used in Example 4.2 above.

**§6.4. Every irreducible representation of  $A$  can be viewed as  $A$  acting on functions from  $\mathbb{Z}$  to  $\mathbb{C}$  through the standard action. Moreover, the functions have polynomial growth.** Let  $E$  be a topologically irreducible representation of  $A$ . For  $i, j \in \mathbb{Z}$ , note that  $e_{ij} = \delta_{i-j} \otimes \delta_i$  are matrix units in  $A$ , with  $e_{ij} * e_{kl} = \delta_{jk} e_{il}$ ,  $e_{ij}^* = e_{ji}$ . Then  $p_i = e_{ii} = \delta_0 \otimes \delta_i$  is the  $i$ th minimal projection. Since  $\text{span}\{p_i\}$  is dense in  $\mathbb{C}\delta_0 \otimes c_0(\mathbb{Z})$ , and  $\text{span}\{e_{0j}\}$  is dense in  $\mathcal{S}(\mathbb{Z}) \otimes \mathbb{C}\delta_0$ ,  $\text{span}\{e_{ij}\}$  is dense in  $A$ . Let  $E_i$  be the

linear subspace  $p_i E$  of  $E$ . Then  $e_{ij} E_k = \delta_{jk} E_i$ , and one  $E_i$  is zero if and only if all the  $E_i$ 's are zero. Since  $\bigoplus_{i \in \mathbb{Z}} E_i = (\text{span}\{e_{ij}\})E$  is dense in  $E$ , and  $E \neq \{0\}$ , we have  $E_i \neq \{0\}$ .

Let  $e, f$  be nonzero elements of  $E_i$ . Then  $\text{span}\{e_{ij}\}e$  is dense in  $E$ , so  $f$  is a limit point. Let  $a_n \in \text{span}\{e_{ij}\}$  be a sequence for which  $a_n e$  converges to  $f$ . Then  $p_i a_n e$  converges to  $p_i f = f$ . Also  $p_i a_n p_i e = p_i a_n e$ , so  $p_i a_n p_i e$  converges to  $f$ . But  $p_i$  is a minimal projection, so  $p_i a_n p_i$  is a complex number  $z_n$  times  $p_i$ . So  $f$  is a multiple of  $e$  and  $E_i$  is one-dimensional.

Let  $e_0$  be some nonzero element of  $E_0$ , and set  $e_i = e_{i0} e_0 \in E_i$  for each  $i \in \mathbb{Z}$ . Then  $e_{ij} e_k = \delta_{jk} e_i$ . Define a map  $\Phi: E \rightarrow \{\xi \mid \xi: \mathbb{Z} \rightarrow \mathbb{C}\}$  by letting  $\Phi(e)(i)$  be the complex number  $z_i$  for which  $p_i e = z_i e_i$ . The map  $\Phi$  is one-to-one since  $\Phi(e) \equiv 0$  implies  $Ae = 0$  and hence  $e = 0$ . Through  $A$ 's action on  $E$ ,

$$\begin{aligned}
(\delta_k \otimes \delta_l) * e_i &= (\delta_k \otimes \delta_l) * e_{i0} * e_0 \\
&= (e_{l, l-k} * e_{i0}) * e_0 \\
&= (\delta_{i, l-k}) e_{l,0} * e_0 \\
&= (\delta_{i, l-k}) e_l,
\end{aligned} \tag{27}$$

where  $\delta_k \otimes \delta_l$  is an elementary tensor product in  $A = \mathcal{S}(\mathbb{Z}) \widehat{\otimes} c_0(\mathbb{Z})$ .



Thus we get an action of  $A$  on  $\Phi(E)$  via

$$\begin{aligned}
\Phi\left((\delta_k \otimes \delta_l) \circ \Phi^{-1} * \xi\right)(i) &= \Phi\left((\delta_k \otimes \delta_l) * \sum_{j \in \mathbb{Z}} \xi(j) e_j\right)(i) \\
&= \sum_{j \in \mathbb{Z}} \xi(j) \Phi\left((\delta_k \otimes \delta_l) * e_j\right)(i) \\
&= \sum_{j \in \mathbb{Z}} \xi(j) \Phi\left(\delta_{j, l-k} e_l\right)(i) \\
&= \xi(l-k) \Phi\left(e_l\right)(i) \\
&= \delta_{il} \xi(l-k),
\end{aligned} \tag{28}$$

for  $\xi: \mathbb{Z} \rightarrow \mathbb{C}$  in  $\Phi(E)$ . For  $F \in A$  and  $\xi \in \Phi(E)$ , this shows  $F * \xi$  is the standard action (26).

We show that any  $\xi \in \Phi(E)$  can be viewed as a continuous linear functional on  $\mathcal{S}(\mathbb{Z})$ . Through the action of the smooth crossed product  $A = \mathbb{Z} \rtimes c_0(\mathbb{Z})$  on  $E$ , we have a natural action of the convolution algebra  $\mathcal{S}(\mathbb{Z})$  on  $E$ . For  $\varphi \in \mathcal{S}(\mathbb{Z})$  and  $\xi \in \Phi(E)$ ,  $\varphi * \xi(m) = \sum_{k \in \mathbb{Z}} \varphi(k) \xi(m-k)$ . For fixed  $\xi \in \Phi(E)$ , the map  $\varphi \mapsto \sum_{k \in \mathbb{Z}} \varphi(k) \xi(-k) \in \mathbb{C}$  is a continuous linear functional on  $\mathcal{S}(\mathbb{Z})$ . Thus for some  $C > 0$  and  $p \in \mathbb{N}$ ,  $|\langle \varphi, \xi \rangle| \leq C \|\varphi\|_p$ , from which it follows that

$$|\xi(k)| \leq C \sigma(k)^p, \quad k \in \mathbb{N}. \tag{29}$$

**§6.5. Algebraically cyclic subrepresentations of irreducible representation of  $A$ .** Let  $E$  be a topologically irreducible representation of  $A$ .

Let  $f$  be a nonzero element of  $E$  such that  $F_f * f = f$  for some  $F_f \in A$ .

**Lemma 6.6.**  $f \in \mathcal{S}(\mathbb{Z})$ .

**Proof:** Using the framework of §6.4 and (29), let  $C_f > 0$  and  $p_f \in \mathbb{N}$  be such that  $|f(k)| \leq C_f \sigma(k)^{p_f}$ ,  $k \in \mathbb{Z}$ . Let  $d \in \mathbb{N}$  be greater than or equal to  $p_f$ .

Since  $F_f$  is in the smooth crossed product  $A$ ,  $\|F_f(\cdot, m)\|_d^\infty \rightarrow 0$  as  $|m| \rightarrow \infty$ , where

$$\|F_f(\cdot, m)\|_d^\infty = \sup_{k \in \mathbb{Z}} \left( \sigma(k)^d |F_f(k, m)| \right) \quad \text{for } m \in \mathbb{Z}. \quad (30)$$

Thus

$$\begin{aligned} |f(m)| &= |F_f * f(m)| \\ &= \left| \sum_{k \in \mathbb{Z}} F_f(k, m) f(m - k) \right| \\ &\leq \sum_{k \in \mathbb{Z}} |F_f(k, m)| |f(m - k)| \\ &= \sum_{k \in \mathbb{Z}} \frac{1}{\sigma(k)^2} |\sigma(k)^{d+2} F_f(k, m)| \left| \frac{f(m - k)}{\sigma(k)^d} \right| \\ &\leq \sum_{k \in \mathbb{Z}} \frac{1}{\sigma(k)^2} \|F_f(\cdot, m)\|_{d+2}^\infty \left| \frac{f(m - k)}{\sigma(k)^d} \right| \\ &\leq C_{m,d} * \sup_{k \in \mathbb{Z}} \left( \frac{|f(m - k)|}{\sigma(k)^d} \right), \end{aligned} \quad (31)$$

where  $C_{m,d} \rightarrow 0$  as  $|m| \rightarrow \infty$ .

Define

$$N_d = \max\{ |m| \mid C_{m,d} \geq \frac{1}{2} \}. \quad (32)$$

Then if  $|m| > N_d$ , by (31) we have

$$|f(m)| < \frac{1}{2} \frac{|f(m - k_1)|}{\sigma(k_1)^d} \quad \text{for some } k_1 \in \mathbb{Z}. \quad (33)$$

If  $|m - k_1| > N_d$ , we can repeat the process

$$\begin{aligned} |f(m)| &< \frac{1}{2} \frac{|f(m - k_1)|}{\sigma(k_1)^d} \\ &< \frac{1}{2} \frac{1}{\sigma(k_1)^d} * \frac{1}{2} \frac{|f(m - k_1 - k_2)|}{\sigma(k_2)^d} \\ &= \frac{1}{2^2} \frac{|f(m - k_1 - k_2)|}{(\sigma(k_1)\sigma(k_2))^d} \quad \text{for some } k_2 \in \mathbb{Z}. \end{aligned} \quad (34)$$

After  $l$  times, we get

$$|f(m)| < \frac{1}{2^l} \frac{|f(m - k_1 - \dots - k_l)|}{(\sigma(k_1) \dots \sigma(k_l))^d}, \quad (35)$$

where  $m, m - k_1, \dots, m - k_1 - \dots - k_{l-1}$  all have absolute value greater than  $N_d$ .

Since the right hand side of (35) is bounded by

$$\frac{C_f \sigma(m - k_1 - \dots - k_l)^{p_f}}{2^l (\sigma(k_1) \dots \sigma(k_l))^d} \leq \frac{C_f \sigma(m)^{p_f}}{2^l}, \quad (36)$$

which tends to zero as  $l \rightarrow \infty$ , either  $f(m) = 0$  or we can find some  $l \in \mathbb{N}$

for which  $|m - k_1 - \cdots k_l| \leq N_d$ . In the latter case,

$$\begin{aligned}
\sigma(m)^d |f(m)| &< \frac{1}{2^l} \frac{\sigma(m)^d C_f \sigma(N_d)^{p_f}}{(\sigma(k_1) \cdots \sigma(k_l))^d} && \text{by (35)} \\
&\leq \frac{1}{2^l} C_f \sigma(N_d)^{p_f+d} \\
&= \frac{1}{2^l} M_{f,d},
\end{aligned} \tag{37}$$

where  $M_{f,d}$  is a constant independent of  $l$  and  $m$ , and we used  $\sigma(m) \leq \sigma(m - k_1 - \cdots k_l) \sigma(k_1) \cdots \sigma(k_l) \leq \sigma(N_d) \sigma(k_1) \cdots \sigma(k_l)$  in the second step. Note that if  $|m| \leq N_d$  to begin with,  $\sigma(m)^d |f(m)| \leq C_f \sigma(N_d)^{p_f+d}$  and (37) still holds, with  $l = 0$  and  $\leq$ . So  $\|f\|_d^\infty \leq M_{f,d} < \infty$  for any  $d \geq p_f$ . This shows  $f \in \mathcal{S}(\mathbb{Z})$ .  $\square$

**Corollary 6.7.** *Any algebraically cyclic subrepresentation of a topologically irreducible representation of  $A$  extends to the standard representation of  $B$  on  $\ell^2(\mathbb{Z})$ .*

**Proof:** Let  $E$  and  $f$  be as above. By §6.4,  $f$  is a function from  $\mathbb{Z}$  to  $\mathbb{C}$ , and  $A$ 's action on  $f$  is the standard action (26). By Lemma 6.6,  $f \in \mathcal{S}(\mathbb{Z})$ . The kernel of the map  $F \in A \mapsto F * f \in E$  is the closed left ideal of  $A$

$$\begin{aligned}
N_f &= \{ F \in A \mid F * f = 0 \} \\
&= \left\{ F \in A \mid \sum_{k \in \mathbb{Z}} F(k, m) f(m - k) = 0, \quad m \in \mathbb{Z} \right\},
\end{aligned} \tag{38}$$

which is the same kernel as when  $A$  acts through (26) on  $\mathcal{S}(\mathbb{Z})$  on the function  $f \in \mathcal{S}(\mathbb{Z})$ . The  $A$ -module  $A*f$  is identified with  $A/N$  (the topology is induced from  $A$ ), which is contained in the  $A$ -module  $\mathcal{S}(\mathbb{Z})$  with action (26). Since  $\mathcal{S}(\mathbb{Z}) \subseteq \ell^2(\mathbb{Z})$  with continuous inclusion, and  $B$ 's action on  $\ell^2(\mathbb{Z})$  is given by (26) as well, the  $A$ -module  $\mathcal{S}(\mathbb{Z})$  extends to the  $B$ -module  $\ell^2(\mathbb{Z})$ .  $\square$

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